WHY NOW · AN INDUSTRY-LEVEL VIEW

Five forces are reshaping the *independent RIA.*

The investments industry has shifted, and the bar for running a credible alternatives program has risen with it. **What used to be optional is now expected.**

THE FORCES AT WORK

What's changing - and why *standing still* is no longer an option.

01 Public market headwinds

Volatility and lower projected returns are pushing advisors and clients to seek diversification beyond the traditional 60/40 portfolio.

02 Alternatives have gone mainstream

Private market access for the wealth channel has expanded dramatically, but advisors are absorbing it without the institutional infrastructure to evaluate it.

03 Clients expect more

Sophistication is rising. Clients want integrated planning, alternatives exposure, and tax-aware strategies - and they expect their advisor to deliver it.

04 Complexity & compliance compound

Higher product complexity meets higher fiduciary scrutiny. The cost of an informal process is no longer just inefficiency - it's risk.

05 Consolidators are circling

A growing number of acquirers target independent RIAs and post-consolidation independence is mixed at best. Building real institutional capability is the alternative to selling out.

→ The bar has been raised

Each force is manageable on its own. Together, they make institutional discipline a survival requirement, not a nice-to-have.

5+
FORCES COMPOUNDING

*For independent RIAs, the question is no longer **whether** to build institutional-grade alternatives capability, but **how fast, and with whom.***

altMAPS

THE altMAPS RESPONSE

Institutional capability, *without scaling an internal CIO office.*

***alt*MAPS** - Managed Alternatives Program Services - is an embedded operating infrastructure for independent RIAs. It delivers the governance frameworks, process rigor, and portfolio construction methodology that wirehouses and private banks build in-house, as a buildable, ownable program the RIA applies to its own investment decisions. Without the staffing cost, the platform conflicts, or the loss of independence.

01

A defensible governance backbone.

Screening criteria, due diligence methodology, approved list governance framework, and documentation built to withstand regulatory scrutiny and client questions.

02

Portfolio architecture, not patchwork.

A construction methodology the firm applies to integrate alternatives deliberately - with allocation discipline, liquidity tiering, and rebalancing logic built in by the RIA, not appended.

03

A program that scales with you.

Five service levels meet your firm where it is and grow as your alternatives program matures, from compliance-minimum to full institutional capability.

Five service levels. One institutional framework.

ESSENTIALS | CORE | FOUNDATIONAL | PROFESSIONAL | ENTERPRISE

From compliance documentation and education at **Essentials**, through full program management at **Foundational**, to bespoke institutional infrastructure at **Enterprise** - your firm engages at the depth that fits today, with a clear path forward as the alternatives program matures.

THE REAL DIFFERENCE

"The real difference between retail and institutional investing today isn't access to products. ***It's the discipline of the investment management process."***

Contact Us:
Steve Ogrin: Steve@altMAPS.com
Rizwan Ibrahim: Rizwan@altMAPS.com

www.altMAPS.com