

Which RIA *are you?*



Independent RIAs sit at four distinct vantage points when it comes to alternatives. Each comes with its own pressure, its own pain, and its own path forward.

The forces reshaping wealth management - public market volatility, expanding access to private markets, rising client sophistication, and growing fiduciary scrutiny - land differently depending on where you sit. Find yourself in one of the four below.

01 THE ARCHETYPE The Founder

Building a sustainable practice while competition intensifies and clients grow more sophisticated.

WHAT WEIGHS ON YOU

- Time-constrained, with rising fiduciary risk on every recommendation.
- Investment knowledge concentrated in one or two people across the firm.
- No coherent investment operating system to scale around.

HOW altMAPS RESPONDS

→ Delivers the operating system you don't have time to build - ready to institutionalize and scale internally, without staffing up.

02 THE ARCHETYPE The Growth Builder

Adding alternatives faster than processes can keep up — and feeling it.

WHAT WEIGHS ON YOU

- Product flow is overwhelming; alternatives are added opportunistically, not strategically.
- Pressure mounting to show fiduciary discipline and consistency.
- Explaining alternatives to clients takes knowledge and education the firm doesn't have time to build.

HOW altMAPS RESPONDS

→ Replaces opportunism with a systematic process: a screening process framework, a methodology for building and maintaining the firm's own approved list, and client-ready education.

03 THE ARCHETYPE The Portfolio Craftsman

Moving beyond 60/40 - but without a clear framework for portfolios with illiquid assets.

WHAT WEIGHS ON YOU

- Portfolios are evolving from traditional 60/40 to multi-asset, alternatives-integrated.
- Liquidity management is difficult; no clear framework for illiquid construction.
- Manager and investment selection lack a rigorous, systematic approach.

HOW altMAPS RESPONDS

→ Delivers the construction framework: model portfolio architecture, liquidity discipline, and a rigorous selection methodology the firm applies consistently.

04 THE ARCHETYPE The Operations Guardian

Carrying due diligence, monitoring, and governance - without the bandwidth a real program requires.

WHAT WEIGHS ON YOU

- Limited bandwidth for institutional-quality due diligence.
- Monitoring alternatives is operationally burdensome; no formal governance process.
- Client suitability and DD documentation aren't maintained systematically.

HOW altMAPS RESPONDS

→ Provides the governance backbone: the DD framework, monitoring process, and documentation system the firm runs on a disciplined, repeatable cadence.



THE REAL DIFFERENCE



*The real difference between retail and institutional investing today isn't access to products. **It's the discipline of the investment management process.***

HOW altMAPS CLOSSES THE GAP

One framework. *Two integrated systems.*

altMAPS isn't a fund platform, a research feed, or a one-time consulting engagement. It's an embedded operating infrastructure for independent RIAs - built across two integrated systems that solve the two problems most firms are managing as one.

SYSTEM I

Alts Management System

Governance & Infrastructure

Screening criteria, due diligence protocols, approved list governance framework, and compliance documentation - the backbone the firm's investment process runs on, designed to support regulatory defensibility.

SYSTEM II

Portfolio Management System

Execution & Oversight

A portfolio construction methodology and oversight process the firm controls and applies to its own investment decisions - model design frameworks, allocation discipline, and client-level monitoring.

The resolution, regardless of where you start.

Whether you arrive as a Founder, a Growth Builder, a Portfolio Craftsman, or an Operations Guardian, altMAPS meets you where you are. Five service levels - **Essentials, Core, Foundational, Professional, and Enterprise** - let your firm engage at the depth that fits today, with a clear path forward as your alternatives program matures.

altMAPS · INSTITUTIONAL-GRADE PROCESS FOR INDEPENDENT RIAs

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